

**Pontificia Universidad Católica de Chile - Center
for Learning on Evaluation and Results (CLEAR)
for Latin America and the Caribbean (LAC)**

**Monitoring and Evaluation Capacity Building in
Latin America and the Caribbean / P181560**

Advanced Draft Version

**ENVIRONMENTAL AND SOCIAL
COMMITMENT PLAN (ESCP)**

April 24, 2024

ENVIRONMENTAL AND SOCIAL COMMITMENT PLAN

1. The Pontificia Universidad Católica de Chile–CLEAR LAC (hereinafter “the Recipient”) will implement the Monitoring and Evaluation Capacity Building in Latin America and the Caribbean Project (hereinafter “the Project”), as set out in the Grant Agreement. The International Bank for Reconstruction and Development and the International Development Association (hereinafter “the Bank”), acting as administrator of the Global Evaluation Initiative Multi-Donor Trust Fund (Trust Fund No. TF073590), has agreed to provide financing to the Pontificia Universidad Católica de Chile, located in Santiago de Chile, Chile (the Recipient) for the Project, as set out in the referred agreement.
2. The Recipient shall ensure that the Project is carried out in accordance with the Environmental and Social Standards (ESSs) and this Environmental and Social Commitment Plan (ESCP), in a manner acceptable to the Bank. The ESCP is a part of the Grant Agreement. Unless otherwise defined in this ESCP, capitalized terms used in this ESCP have the meanings ascribed to them in the referred agreement.
3. Without limitation to the foregoing, this ESCP sets out material measures and actions that the Recipient shall carry out or cause to be carried out, including, as applicable, the timeframes of the actions and measures, institutional, staffing, training, monitoring and reporting arrangements, and grievance management. The ESCP also sets out the environmental and social (E&S) instruments that shall be adopted and implemented under the Project, all of which shall be subject to prior consultation and disclosure, consistent with the ESSs in form and substance, in a manner acceptable to the Bank. Once adopted, said E&S instruments may be revised from time to time with prior written agreement by the Bank.
4. As agreed by the Bank and the Recipient, this ESCP can be revised as needed, during Project implementation, to reflect adaptive management of Project changes and unforeseen circumstances or in response to Project performance. In such circumstances, the Recipient and the Bank agree to update the ESCP to reflect these changes through an exchange of letters signed between the Bank and the Recipient. The Recipient shall promptly disclose the updated ESCP.

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY
MONITORING AND REPORTING			
A	<p>REGULAR REPORTING</p> <p>Prepare and submit to the Bank, as part of the overall project progress reporting, one report on the environmental and social (E&S) performance of the Project, including but not limited to the implementation of the ESCP and functioning of the grievance mechanism.</p>	Annually throughout project implementation.	CLEAR LAC
B	<p>INCIDENTS AND ACCIDENTS</p> <p>Promptly notify the Bank of any incident or accident related to the Project which has, or is likely to have, a significant adverse impact on the environment or project workers, including, inter alia, cases of sexual exploitation and abuse (SEA), sexual harassment (SH), and accidents that result in death, serious or multiple injury. Provide sufficient detail regarding the scope, severity, and possible causes of the incident or accident, indicating immediate measures taken or that are planned to be taken to address it, and any information provided by any contractor and supervising entity, as appropriate. Subsequently, as per the Bank’s request, prepare a report on the incident or accident and propose any measures to prevent its recurrence.</p>	<p>Notify the Bank within 48 hours after learning of the incident or accident.</p> <p>Provide subsequent report to the Bank within a timeframe acceptable to the Bank.</p>	CLEAR LAC
ESS 1: ASSESSMENT AND MANAGEMENT OF ENVIRONMENTAL AND SOCIAL RISKS AND IMPACTS			
1.1	<p>ORGANIZATIONAL STRUCTURE</p> <p>Assign and maintain at least one member of the CLEAR LAC team, with sufficient qualifications and experience to consult on labor, social, and environmental issues management, within relevant areas of the Universidad Católica, and to manage the Project’s grievance mechanism.</p>	Staff assignment with E&S responsibilities will be put in place within 60 days of the Effective Date of the Grant Agreement, and will be maintained throughout Project implementation.	CLEAR LAC
1.2	<p>ENVIRONMENTAL AND SOCIAL INSTRUMENTS</p> <p>Project-related activities have been screened for environmental and social risks and impacts. No further assessment is required as per this Project screening, so no additional action needs to be taken. If any activities having potential environmental and social risks and impacts are added later, they will be screened in the same manner and, based on the results of the screening, E&S analysis and management measures will be embedded in the Terms of Reference for the activity and required as part of the activity outputs.</p>	Throughout Project implementation.	CLEAR LAC

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY
	Free-standing Labor Management Procedures have been prepared. No other E&S instruments will be prepared, but adherence to ESS1, ESS2 and ESS10 will be assessed through regular reporting.		
1.4	TECHNICAL ASSISTANCE Ensure that the consultancies, studies, capacity building, training, and any other technical assistance activities under the Project, are carried out in accordance with terms of reference acceptable to the Bank and are consistent with the ESSs. Thereafter ensure that the outputs of such activities comply with the terms of reference.	Throughout Project implementation.	CLEAR LAC
ESS 2: LABOR AND WORKING CONDITIONS			
2.1	LABOR MANAGEMENT PROCEDURES Adopt and implement the Labor Management Procedures (LMP) for the Project, including, inter alia, provisions on working conditions, management of worker relationships, occupational health and safety, codes of conduct (including relating to Sexual Exploitation and Abuse- SEA and Sexual Harassment-SH), and a grievance mechanism for Project workers. The Project's Direct Workers will be subject to the code of conduct established by Universidad Católica de Chile. Assign one qualified member of the CLEAR LAC team to take up the responsibility for managing labor-related issues, to promote compliance with governing labor laws and policies of Chile, and of the six prioritized LAC countries where Project activities will be undertaken.	LMP to be finalized and disclosed prior to the Grant Agreement signature date. CLEAR LAC team member to be assigned within 60 days of the Effective Date of the Grant Agreement and maintained throughout Project implementation.	CLEAR LAC
2.2	GRIEVANCE MECHANISM FOR PROJECT WORKERS Establish and operate a grievance mechanism for Project workers, as described in the LMP and consistent with ESS 2.	The mechanism shall be part of the LMP and will therefore be in place prior to the Grant Agreement signature date.	CLEAR LAC
ESS 3: RESOURCE EFFICIENCY AND POLLUTION PREVENTION AND MANAGEMENT			
This standard is not relevant.			
ESS 4: COMMUNITY HEALTH AND SAFETY			
This standard is not relevant.			
ESS 5: LAND ACQUISITION, RESTRICTIONS ON LAND USE AND INVOLUNTARY RESETTLEMENT			
This standard is not relevant.			

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY
ESS 6: BIODIVERSITY CONSERVATION AND SUSTAINABLE MANAGEMENT OF LIVING NATURAL RESOURCES			
This standard is not relevant.			
ESS 7: INDIGENOUS PEOPLES/SUB-SAHARAN AFRICAN HISTORICALLY UNDERSERVED TRADITIONAL LOCAL COMMUNITIES			
This standard is not relevant.			
ESS 8: CULTURAL HERITAGE			
This standard is not relevant.			
ESS 9: FINANCIAL INTERMEDIARIES			
This standard is not relevant.			
ESS 10: STAKEHOLDER ENGAGEMENT AND INFORMATION DISCLOSURE			
10.1	STAKEHOLDER ENGAGEMENT DURING PROJECT PREPARATION AND IMPLEMENTATION Ensure that the Project activities incorporate stakeholder engagement and information disclosure in a manner consistent with ESS 10. This should be based on the mapping of stakeholders and include sharing information and consulting on the purpose, nature and scale of the activities, their potential risks and impacts and measures to address them, engagement processes and how stakeholders can participate, and the means by which complaints/grievances can be addressed, with attention to social inclusion and engagement efforts for beneficiaries who represent underserved demographics, geographies, and sectors.	Throughout the project implementation.	CLEAR LAC
10.2	PROJECT GRIEVANCE MECHANISM Establish, publicize, maintain, and operate an accessible grievance mechanism, to receive and facilitate resolution of concerns and grievances in relation to the Project promptly and effectively, in a transparent manner that is culturally appropriate and readily accessible to all Project-affected parties, at no cost and without retribution, including concerns and grievances filed anonymously, in a manner consistent with ESS 10.	Throughout the project implementation.	CLEAR LAC
CAPACITY SUPPORT			
CS1	Training will be provided to project staff to: i) raise awareness on the risks and the requirements of the relevant policies, staff rules of Pontificia Universidad Católica de Chile, and the ESF; ii) establish provisions to prevent SEA/SH in codes of conduct; and iii) address any such issues through its assigned staff.	Throughout Project implementation.	CLEAR LAC